



Law Society  
of Scotland

# Consultation response

## Common Frameworks

July 2022



## Introduction

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The Law Society of Scotland is the professional body for over 12,000 Scottish solicitors. With our overarching objective of leading legal excellence, we strive to excel and to be a world-class professional body, understanding and serving the needs of our members and the public. We set and uphold standards to ensure the provision of excellent legal services and ensure the public can have confidence in Scotland's solicitor profession.

We have a statutory duty to work in the public interest, a duty which we are strongly committed to achieving through our work to promote a strong, varied and effective solicitor profession working in the interests of the public and protecting and promoting the rule of law. We seek to influence the creation of a fairer and more just society through our active engagement with the Scottish and United Kingdom Governments, Parliaments, wider stakeholders and our membership.

Our Environmental Law and Constitutional Law and Human Rights Sub-committees welcome the opportunity to respond to the Scottish Parliament's Rural Affairs, Islands and Natural Environment Committee's inquiry on *Common Frameworks*<sup>1</sup>. We have the following comments to put forward for consideration.

## Consultation questions

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### **1. Are the frameworks clearly drafted, including in relation to their purpose and scope?**

We welcome publication of the common frameworks within scope of this inquiry which have been anticipated for some time.

The common frameworks are detailed documents with their contents of particular significance to those operating within the relevant sectors.

The provisional common frameworks which the Committee is considering in this Inquiry are:

- a) Agricultural support
- b) Animal health and welfare
- c) Chemicals and pesticides
- d) Fertilisers
- e) Fisheries management and support
- f) Organic production
- g) Plant health

<sup>1</sup> <https://yourviews.parliament.scot/raine/common-frameworks/>

#### h) Plant varieties and seeds

We note that there is a significant level of similarity between the approach taken in setting out the common frameworks. A good example is the common framework on animal health and welfare. It approaches the task of setting out the common framework by setting out as follows: Framework Outline Agreement Section 1: What are we talking about, Section 2: Breakdown of policy area and framework, Section 3: Operational Detail, Section 4: Next Steps.

This broad approach to formulating the common framework is followed (with some variations) by all the common frameworks in under scrutiny in this inquiry. It should be noted however that the common frameworks within this group and more generally are not uniform. One suggestion which we make would be that common frameworks should be edited to produce a single style resulting in frameworks being more easily accessed and understood. The lack of a common editorial standard means that it is difficult to compare and contrast the common frameworks. An example of the lack of uniformity between the frameworks is the use of different diagrams to illustrate the decision making and dispute avoidance/resolution structures. For example, the Plant Varieties and Seeds Provisional Common Framework diagram (page 37) is quite different from that for the Animal Health and Welfare Common Framework (page 21).

## 2. Do the frameworks include matters not previously governed at an EU level?

In October 2017, the UK and devolved governments agreed a set of principle for the establishment of common frameworks, including that “common frameworks will be established where they are necessary in order to: enable the functioning of the UK internal market, while acknowledging policy divergence”.<sup>2</sup> In late 2017, the Cabinet Office published a list of 111 points where EU law intersects with devolved matters in relation to Scotland (160 points overall where EU law intersects with devolved powers across the UK). This has been supplemented by the publication of various *UK Government’s Frameworks analysis* documents from 2017 to date, with the most recent dating from November 2021<sup>3</sup>. These analyses have set out the UK Government’s policy positions on whether a policy area requires a common framework or not, including whether a common framework has any associated primary legislation. The most recent analysis sets out 29 policy areas where common rules and ways of working are or will be implemented through a non-legislative Common Framework agreement, and 3 policy areas where new primary legislation has been introduced which implements common rules and ways of working, alongside a non-legislative Framework agreement.

We note that there are no domestic legal constraints on the powers of the UK Parliament or UK Government concerning common frameworks. Transforming the common frameworks principles into functional structures has been largely achieved through inter-governmental negotiations. There are a “wide variety of approaches, levels of detail and progression” among the framework structures.

<sup>2</sup> JOINT MINISTERIAL COMMITTEE (EU NEGOTIATIONS) COMMUNIQUE, 16 October 2017, accessed at [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/652285/Joint\\_Ministerial\\_Committee\\_communique.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/652285/Joint_Ministerial_Committee_communique.pdf)

<sup>3</sup> <https://www.gov.uk/government/publications/frameworks-analysis>

The common frameworks are therefore in place either because of non-legislative agreements or because legislation provides a statutory arrangement for regulating the points of intersection between devolved matters and EU law. Accordingly, we note that the devolved governments will be bound to such common frameworks either because they have agreed to them or because they are bound by law.

### **3. Do the frameworks set any measures for assessing whether policy divergence will be acceptable - by, for example, committing governments to maintaining certain standards?**

While differentiation is a natural consequence of devolution, we consider that strong collaboration between the UK Government and devolved administrations is essential, particularly in connection with environmental matters given the transboundary effects of environmental impacts. We welcome the use of common frameworks as a way of avoiding undesirable fragmentation and the potentially disruptive impact of policy divergence.

The areas highlighted in the common frameworks are important, complex and often very technical. They comprise highly regulated areas of policy implemented originally by EU Directives, Regulations and Decisions and transposed by UK Acts and subordinate legislation, Scottish Acts and Scottish subordinate legislation; as well as a number of administrative, non-statutory arrangements.

Common frameworks have the potential to resolve the tensions within the devolved settlement through managing regulatory divergence on a consensual basis while facilitating open trade within the UK internal market.

However, it is not clear how the environmental common frameworks fit with the United Kingdom Internal Market Act 2020 and the role of the Office for the Internal Market (OIM). We consider that the UK Government should explain further how these will function in practice.

We welcome the clear and detailed procedural arrangements set out in the common frameworks. However, it is not yet clear how the frameworks fit with the work of the Office of Environmental Protection, as established by the Environment Act 2021, and the work of environmental governance bodies in the devolved authorities, for example, Environmental Standards Scotland as established by the UK Withdrawal from the European Union (Continuity) (Scotland) Act 2021. In addition, we consider that it is unclear how the common framework approach is going to work with the range of powers being conferred on UK Ministers in devolved areas in the legislation governing the withdrawal from the EU and subsequently resetting the legal frameworks in related areas.

It is important that these frameworks are scrutinised carefully in the UK and devolved Parliaments. It is important that this process complies with obligations under the Aarhus Convention, particularly Articles 7 and 8, in relation to public participation in policy making.

The group of agricultural related frameworks (common frameworks on agricultural support, animal health and welfare, chemicals and pesticides, fertilisers, organic production, plant health and plant varieties and seeds) involves confirmation that there has been collaboration between the Governments in the UK. From our

analysis each common framework within scope of this inquiry is accompanied by a concordat (except that of fisheries management and support where a Memorandum of Understanding applies between the UK, Scottish and Welsh Governments and the Department of Agriculture Environment and Rural Affairs Northern Ireland). It therefore appears that each of these frameworks respects the devolved competence in as much as each government within the UK agreed to the measures to support their food and farming sector.

The fisheries management and support common framework makes clear in paragraph 3.1 that “*The UK Fisheries Management and Support Framework (the Fisheries Framework) is an agreement between UK Government, the Scottish Government, Welsh Government, and the Department of Agriculture, Environment and Rural Affairs (DAERA) (hereafter referred to as the Parties), pertaining to the management of fisheries by the four fisheries policy authorities (the Secretary of State, the Scottish Ministers, the Welsh Ministers, and DAERA). The Fisheries Framework reflects the Fisheries Act 2020 (the Act) and its requirements, the dynamic nature of fisheries management, the history of collaborative working between the fisheries policy authorities, and the extent of devolved competence in fisheries matters.*” Such a legislative provision and the framework which is referred to, take into account devolved competence as set out in the legislation.

#### **4. The processes set out in frameworks will be used for the governments to decide when to align and when to diverge.**

- **Are these processes clear?**
- **Are the right people involved in decision making?**
- **Is there a role for stakeholders?**

Please see our comments at Q3.

#### **5. Do the frameworks propose any significant changes to policy?**

We have no comment.

#### **6. How will the framework change decision-making processes in the policy areas in comparison to pre EU exit?**

The change from decision-making within the well-established EU structures involving 28 states and a series of formal procedural stages to the much less formalised process for intra-UK discussions represents a major change and it will take time to establish appropriate new working patterns. These must include early opportunities for stakeholder involvement and parliamentary scrutiny before key elements have been determined.

#### **7. Are decision making processes set out in the frameworks transparent - by, for example, being subject to reporting requirements?**

It will be important to keep the practical operation of the frameworks under regular review, with published reporting, so as to monitor their operation on an ongoing basis.

It is difficult to foresee practical challenges which could undermine the operation of the Common Frameworks.

Legal challenges are expressly ruled out, for example, “*This concordat is not intended to constitute a legally enforceable contract or to create any rights or obligations which are legally enforceable. It is a statement of the principles that will guide relations between the four Parties.*” Agricultural Support Provisional Common Framework (Page 20, paragraph 6). This is subject to the provisions of devolution legislation which govern matters relating to legislative competence.

If there are other challenges which emerge from the operation of the Common Frameworks, the dispute mechanisms which apply in terms of the concordats seem to be adequate to deal with them. The escalation from Officials to Ministers has some precedent in the Memorandum of Understanding between the UK Government and the Devolved Administrations originally published on 1 October 1999 and reflects the position set out in the Review of Intergovernmental Relations published on 13 January 2022. That review provides for a process which applies to, among other things, common frameworks: “*Any government may refer a disagreement to the IGR Secretariat as a dispute. Escalation of a disagreement between governments as a dispute will only be considered after due and full consideration has been given at portfolio-level (including F:ISC regular engagement), where a disagreement cannot be resolved at portfolio level, and has significant implications for the relationship between two or more governments. This will include circumstances where governments disagree about the interpretation of, or actions taken in relation to, matters governed by intergovernmental agreements, rules or procedures (including Common Framework Agreements)*”.

## **8. Do the frameworks provide opportunity for ongoing stakeholder engagement, including in any review and amendment process?**

We have no comment.

## **9. Do you have any views on how the Scottish Parliament should monitor the functioning of common frameworks?**

We consider that this could be done in a number of ways, for example:

- a) have Common Frameworks within a Minister's remit as a standing item for questions whenever that Scottish Minister is asked to provide evidence to the Parliament,
- b) actively review Common Frameworks every 6/8 months,
- c) when Common Frameworks Reports are published by UK Ministers, invite the relevant UK Minister to attend the Committee and provide comments on the report and/or
- d) undertake joint scrutiny of the frameworks by the UK and devolved Parliaments which could help to increase the authority of any findings.

## **10. Please provide any additional thoughts on specific common frameworks.**

We have no further comment.



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