From the Azerbaijani Laundromat to McMafia, money-laundering has taken over the news and popular imagination. In the midst of all this, it is important to remember the key role played by solicitors in preventing money-laundering and financial crime – a role that is under ever-increasing scrutiny.

Our Anti-money laundering and financial crime conference will provide you with the information you need to meet regulatory requirements, but it will also place the role of the solicitor in broader context:

- Why is the role of the solicitor so important in preventing financial crime?
- What ethical dilemmas might this present?

In addition to this, on-going and future developments in financial crime will be discussed, with specific emphasis upon the issues presented by cybercrime. Finally, more specialised sessions for TCSP and conveyancing will address the specific risks and due diligence requirements for these sectors.

By attending this conference, you will:

- Discuss the latest anti-money laundering regulations for the legal profession
- Assess ongoing and future developments in financial crime
- Address the risks posed by cybercrime
- Review the legal profession’s requirements regarding due diligence, risk assessment and identifying beneficial ownership

Speakers confirmed

- **David Lee, Chair**, Director, David Lee Media & Events Ltd
- **Martin Cox**, Deputy Head UK Financial Intelligence Unit, National Crime Agency
- **Graham Mackenzie**, Head of Anti-Money Laundering, Law Society of Scotland
- **David Artingstall**, Associate Fellow, RUSI
- **Professor Michael Levi**, School of Social Sciences, University of Cardiff
- **Brian Inkster**, Managing Partner, Inksters
- **Jane Jarman**, Associate Professor, Nottingham Law School
- **Paul Goldsmith**, AML Manager, Turcan Connell
- **Alex White**, AML Auditor, Law Society of Scotland
- **Richard Farquhar**, Risk and Compliance Manager, Withers LLP
- **Michael Farrell**, HMRC
Programme Details

09:00 - 09:30  Registration, refreshments and exhibition

09:30 - 09:40  Welcome and introduction

Speaker

**David Lee**, Director, David Lee Media & Events Ltd

David Lee is an event host, conference organiser, writer, editor and PR consultant. He worked for daily newspapers for 21 years, latterly as Senior Assistant Editor at The Scotsman before establishing his own business in 2009. As Director of David Lee Media & Events Ltd, he has organised 100-plus business and public policy conferences and seminars and hosted almost 200 events for a range of public and private sector clients across the UK. He has covered legal affairs for 15 years and has been a judge in the Scottish Legal Awards since 2004. As well as law, he has a particular interest in fintech, life sciences, forestry and rural affairs, renewable energy, education and general public policy. Away from work, he is a father of four children (and two dogs) and is a passionate coastal rower and an enthusiastic footballer of declining ability. He spends a lot of time on buses and trains, often leading to Twitter fury @davidleemedia

09:40 - 10:20  Financial crime threats, trends and risks for the legal profession

- Corporate liability
- Facilitating tax evasion
- Other ongoing developments

Speaker

**David Artingstall**, Associate Fellow, RUSI

David Artingstall is an independent consultant specialising in AML/CFT and regulatory risk issues. His roles as a consultant over recent years have included assignments as an international contracted expert for the IMF, Council of Europe and European Commission providing technical assistance on national AML/CFT frameworks. He has advised the private sector on risk issues and helped to develop the Solicitors Regulation Authority's risk framework, as well as developing financial crime qualifications and co-authoring research. Prior to becoming a consultant, David held financial crime policy and intelligence roles in various public sector organisations, including the Financial Services Authority, where as a Technical Specialist he established a strategic intelligence function, in addition to acting as deputy MLRO; the UK Financial Intelligence Unit and Special Projects Branch at the National Criminal Intelligence Service, including being a founder member of the Terrorist Finance Team in 2001 and a period on secondment to one of the UK's intelligence agencies; and the Metropolitan Police Special Branch, where he specialised in TF intelligence and investigation.

10:20 - 11:00  Key anti-money laundering legislation and controls

- 4th Anti-Money Laundering Directive
- Money Laundering Regulations 2017
- Criminal Finances Act 2017
- Client due diligence
- Suspicious activity reports

Speaker

**Graham Mackenzie**, Head of Anti-Money Laundering, Law Society of Scotland

Graham has 17 years' experience in a wide variety of financial crime-related roles within the
financial sector. This includes complex money laundering investigations, international sanctions violations and project work, along with fraud strategy, analysis and investigation. Graham specialises in using this experience to help firms implement robust, proportional and practical AML controls/risk management frameworks.

11:00 - 11:15
Refreshments, exhibition and networking

11:15 - 11:55
SARs reform and improving quality of SARs

- Knowledge of the future SARs reform
- Understanding what happens to SARs
- Improving the quality of SARs reports

**Speaker**

**Martin Cox**, Deputy Head UK Financial Intelligence Unit, National Crime Agency

Martin Cox is currently Senior Manager and Deputy Head of the UK Financial Intelligence Unit (UKFIU) National Crime Agency (NCA). Martin has over 30 years investigative and intelligence experience in the Military, HM Customs and Excise National Investigation Service, National Criminal Intelligence Service (NCIS), Serious Organised Crime Agency (SOCA) and NCA. These have included overseas postings as the Drugs Liaison officer in Portugal and Operational Security Advisor in Afghanistan. Martin also spent four years in Lyon, France on attachment to INTERPOL as Assistant Director responsible for Fugitives, Anti-kidnapping and Extortion, War Crimes, Crimes Against Humanity and Genocide.

11:55 - 12:35
Cybercrime

- How money is laundered online
- Innovations in online fraud
- The risks and scale of cybercrime

**Speaker**

**Professor Michael Levi**, School of Social Sciences, University of Cardiff

Michael has a distinguished track record of research in a range of criminological areas and, in particular, have built an international reputation for excellence in basic and policy-oriented research on money laundering, corruption, cybercrimes, transnational organised crime and white-collar crimes. Michael has played an advisory role both internationally (with the European Commission, Europol, Council of Europe, UN and World Economic Forum) and nationally (with the UK Home Office and Cabinet Office, and with the Crime Statistics Advisory Committee).

12:35 - 13:20
Lunch, exhibition and networking

13:20 - 14:00
The ethics of financial crime and legal professional privilege

- How to reconcile AML disclosure requirements with legal professional privilege

**Speaker**

**Jane Jarman**, Associate Professor, Nottingham Law School
Jane was admitted as a solicitor in 1992 and specialised in professional indemnity litigation before joining NLS in 2004 and is now an Associate Professor and a specialist in legal regulation and compliance. She has designed a variety of programmes, including the compulsory Risk Management Education Programme for the Law Society of Hong Kong, and the framework for the final qualification for registered trade mark attorneys. She has advised law firms and legal regulators on legal education and compliance in both the UK and internationally and is a member of Nottingham Law School’s Centre for Legal Education. Jane was also a co-author of the SRA’s CPD Review and a contributor to Butterworths Commercial Court & Arbitration Pleadings.

14:00 - 14:40

Using technology to prevent money laundering and financial crime

- Client due diligence
- Sanctions, politically exposed persons and AM screening
- Identifying beneficial ownership structures

Speaker

**Brian Inkster**, Managing Partner, Inksters

Brian Inkster is the founder of Inksters Solicitors with offices in Glasgow, Aberdeen, Forfar, Inverness, Portree, Wick and a visiting base in Lerwick. Brian obtained the distinction of being named Solicitor of the Year at the Law Awards of Scotland in 2006. He was called “a one man Scottish legal institution” in the Recommended Law Firm Guide 2010. At the Law Awards of Scotland in 2014 he was recognised as Managing Partner of the Year. Brian is actively expanding Inksters’ reach throughout Scotland with the aim to make his firm a preeminent force in the Scottish legal market. Technology is an important part of this drive with Inksters being completely cloud based and having appointed a Legal Process Engineer to make the firm a very process oriented one. Brian is often asked to provide presentations or comment on the use of technology in relation to running a law firm. Brian blogs on the Past, Present and Future Practice of Law at www.thetimeblawg.com

14:40 - 14:55

Refreshments, exhibition and networking

Breakout sessions

Risk assessment and due diligence

Delegates can choose one of two sessions

14:55 - 15:30

**Breakout session 1a: Conducting AML risk assessments for TCSP**

Speaker

**Alex White**, AML Auditor, Law Society of Scotland

Alex White is AML Auditor at the Law Society of Scotland and specialises in trust and company service provision work. Prior to this role, Alex worked for nine years as compliance officer, money laundering reporting officer and executive director for a trust and corporate service provider. In addition to handling a portfolio of domestic and international clients, he ensured that the business met and complied with its statutory obligations. He is a professional member of both the Society of Trust & Estate Practitioners and the International Compliance Association.

14:55 - 15:30

**Breakout session 1b: Conducting AML risk assessments for conveyancing**

Speaker
Richard Farquhar, Risk and Compliance Manager, Withers LLP

Richard is a Scottish qualified solicitor and is currently a Risk and Compliance Manager with Withersworldwide. Prior to that he was with Harper Macleod and had spent a number of years with Law Society of Scotland advising the Financial Compliance Department. He is also an observer on the Law Society of England and Wales Money Laundering Task Force and has taken part in the plenary sessions with Financial Action Task Force on the vulnerabilities of the legal sector and attended session with HM Treasury and HMRC on the National Risk Assessment.

Delegates can choose one of two sessions

15:35 - 16:15

Breakout session 2a: Carrying out due diligence for TCSP

Speaker

Michael Farrell, HMRC

15:35 - 16:15

Breakout session 2b: Carrying out due diligence for conveyancing

Speaker

Paul Goldsmith, AML Manager, Turcan Connell

Paul is currently the AML Manager at Turcan Connell, having over 17 years’ experience in various compliance roles within the legal sector. The majority of that time was spent in London working for several Top 10 and Magic Circle Law Firms, before relocating to Edinburgh. Paul recently attending HMT and FAFT sessions for the UK's Anti-Money Laundering and Counter Terrorism Financing Mutual Evaluation Regime (AML/CFT) by the Financial Action Task Force. Paul currently holds the ICA's International Diploma in Anti-Money Laundering (Distinction).

16:20 - 16:30

Closing remarks

Speaker

David Lee, Director, David Lee Media & Events Ltd

Prices

prices exclude VAT

Member: £220.83

New member: £183.33

Accredited Paralegal: £183.33

Trainee: £183.33

Unemployed member: £166.67

Non-member: £241.67